

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Patent Application of: Leonard E. Frey et al.

Group Art Unit: 2166 : IBM Corporation  
Examiner: Srirama T. Channavajjala : Intellectual Property  
Serial No.: 10/040,799 : Law  
Filed: 01/07/2002 : Department SHCB/040-3  
Confirmation No.: 2893 : 1701 North Street  
Title: TRANSACTION METHOD : Endicott, New York 13760  
AND SYSTEM

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PRELIMINARY AMENDMENT**

Dear Sir:

In response to the Decision on Appeal dated 12/17/2008,  
Appellants re-open prosecution by filing concurrently a RCE and  
the present preliminary amendment.